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IN THE UNITED STATES PATENT & TRADEMARKS OFFICE

ART UNIT:

2834

EXAMINER:

Waks, Joseph

APPLICANT:

Jacobsen, Steph

SERIAL NO.:

10/086,640

FILED:

2/28/2002

CONFRM. NO.: 8541

FOR: RESONANT ELECTRICAL GENERATION

SYSTEM

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

DATE OF DEPOSIT: 12-02-03

I hereby certify that this paper or fee (along with any paper or fee referred to as being attached or enclosed) is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated above and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-

Garron M. Hobson

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir/Madam:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all platents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). Applicant respectfully submits that this Information Disclosure Statement is filed pursuant to:

37 C.F.R. § 1.97 (b)(1) or (3), within three months of the filing date of the application, or before a first office action on the merits, whichever occurs last;

37 C.F.R. § 1.97 (c), after a first office action on the merits, but before a Final Office Action or a Notice of Allowance, whichever occurs first, and is accompanied by either 1) a statement in accordance with 37 C.F.R. § 1.97(e), or 2) the fee set forth in§1.17(p); or

37 C.F.R. § 1.97 (d), after a Final Office Action or Notice of Allowance, whichever occurs first, but on or before payment of the issue fee, and is accompanied by both 1) a statement in accordance with 37 C.F.R. § 1.97(e), and 2) the fee set forth in §1.17(p).

12/08/2003 CCHAU1

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Supplemental Information Disclosure Statement

Application No. <u>10/086,640</u>

Page 2

While no representation is made that any of these references may be "prior art" within the

meaning of that term in a ccordance with 35 U.S.C. §§ 102 or 103, the enclosed list of references is

disclosed so as to comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office

records has been conducted or that no better art exists, the undersigned attorney of record believes that

the references listed, together with any other references which may have been previously submitted or

listed, are the closest to the claimed invention (taken in its entirety) of which the undersigned is presently

aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly

withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each listed reference (or relevant

portion thereof) which was not previously submitted to, or cited by, the Patent Office is also enclosed.

For all listed references that are not either in the English language, or accompanied by a

translation into English, a concise explanation of relevance as required under 37 C.F.R. § 1.98(a)(3) is

enclosed attached to each.

The Commissioner is hereby authorized to charge any additional fees associated with this

communication or to credit any overpayment to Deposit Account No. 20-0100.

Dated this 2nd day of December, 2003.

Respectfully submitted,

Garron M. Hobson

Attorney for Applicant

Registration No. 41,073

THORPE NORTH & WESTERN, LLP

Customer No. 20,551

P.O. Box 1219

Sandy, Utah 84091-1219

Telephone: (801) 566-6633

GMH/ef

Enclosure

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Garron M. Hobson

PROMPTNESS CERTIFICATE UNDER 37 C.F.R. § 1.97(e)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir/Madam:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). Applicant respectfully submits that this Information Disclosure Statement is filed pursuant to:

 \boxtimes I hereby certify that each item contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or;

I hereby certify that no item contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in '1.56(c) more than three months prior to the filing of the information disclosure statement.

Promptness Certificate Under 37 C.F.R. § 1.97(e) Application No. <u>10/086,640</u> Page 2

The Commissioner is hereby authorized to charge any additional fees associated with this communication or to credit any overpayment to Deposit Account No. 20-0100.

Dated this 2nd day of December, 2003.

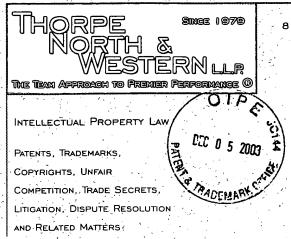
Respectfully submitted,

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Garron M. Hobson Attorney for Applicant Registration No. 41,073

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GMH/ef
Enclosure
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JONATHAN M. BENNS, PH.D. AARON M. SMITH, B.S. EE WILLIAM T. RALSTON, PH.D. EE

December 1, 2003

CALVIN E. THORPE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE (1938-1999)

TRANSMITTAL OF SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Re: U.S. Patent Application No. 10/086,640 filed 02/28/02

Applicant: Jacobsen

Title: RESONANT ELECTRICAL GENERATION SYSTEM

Group Art Unit: 2834

Attorney Docket No. T8719

Sir/Madam:

Transmitted herewith for filing and pursuant to 37 C.F.R. §§ 1.56 and 1.97 is a Supplemental Information Disclosure Statement.

Enclosed also are the following designated documents, as required under 37 C.F.R. §§ 1.97 and 1.98:

	Form PTO-1449 list of <u>3</u> references submitted for consideration.	
\boxtimes	Legible copies of the listed references or their relevant portions.	•
	All English translations of each non-English reference, if any, within the possession, custody, control or availability of anyone designated in 37 C.F.R.	
· .	1.56(c) (see 37 C.F.R. § 1.98(c)).	

The following are included within the Information Supplemental Disclosure Statement if applicable and as required under 37 C.F.R. § 1.98:

Concise explanation of relevance of each reference not in English and unaccompanied by an English translation.

Statement that certain listed references not enclosed are substantially cumulative of an enclosed reference.

Statement that certain listed references not enclosed were previously cited by or submitted to the Office in the identified prior application which is relied upon for an earlier filing date under 35 U.S.C. § 120.

In order to secure consideration of the items designated above, one or more of the following, if required, is also enclosed:

- Statement under 37 C.F.R. § 1.97(e)(1) or (2).
- Check No. <u>/ 8.9.9</u> in the amount of <u>/ 8.0.0</u> (amount in § 1.17(p)) constituting the submission fee set forth in 37 C.F.R. § 1.17(p).

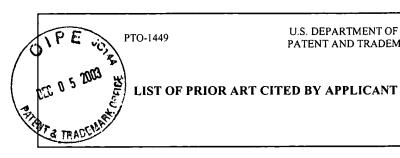
In the event that 37 C.F.R. § 1.97(c) applies and the Examiner is not satisfied that the Statement meets the requirements of 37 C.F.R. § 1.97(e), or in any other event remediable by a fee, please credit any over payment or charge any additional fees to Deposit Account No. 20-0100 of the undersigned.

Respectfully submitted,

Garron M. Hobson Attorney for Applicant Registration No. 41,073

THORPE NORTH & WESTERN, LLP Customer No. 20,551 P.O. Box 1219 Sandy, Utah 84091-1219 Telephone: (801) 566-6633

GMH/ef



U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE ATTY. DOCKET NO. T8719

SERIAL NO. 10/086,640

APPLICANT

Jacobsen

FILING	DATE
02/28/02	

GROUP 2834

U.S. PATENT DOCMENTS							
EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A 1	3,970,877	07/20/1976	Russell et al.			
	A2	5,319,948	06/14/1994	Blum et al.			
	А3	5,839,508	11/24/1998	Tubel et al.		-	
	A4						
•	A5						
	A6					,	
- ;	A 7						
	A8	_					
	A9						
	A10					-	
	All					•	
	A12						
FOREIGN PATENT DOCUMENTS							

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
,	A13						
	A14						
	A15						
	A16						
	A17						

OTHER PRIOR ART (Including Author, Title, Pertinent Pages, Etc.)

	A18		•		 	
	A19				<u>.</u>	<u> </u>
	A20					
EXAMINER			DATE CONSIDERED			

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication with applicant.